


07/26/00  
JC857 U.S. PTO  
09/626347

07-28-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on July 26, 2000 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL269879862US**, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

  
Joel Gray

Attorney Docket No.: **GDT1P001**

First Named Inventor:

**Albert Henricus Franciscus de Heer**

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09/626347  
07/26/00

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Regular application claiming priority of a provisional)

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:

**Albert Henricus Franciscus de Heer, Rudolf Cornelius Wilhelmus de Heer,  
Constantin Nickolayvich Zabrodine, Alexandre Igorevitch Kviatkevitch, Eric Oscar Blaettler**

For: **METHODS AND APPARATUS FOR CATALOG DATA CAPTURE, STORAGE, AND DISTRIBUTION**

Priority of U.S. Provisional Application No. 60/152,510 filed on September 2, 1999 is claimed under 35 U.S.C. § 119(e).

**Application Elements:**

- ☒ 59 Pages of Specification, Claims and Abstract
- ☒ 31 Sheets of **informal** Drawings
- ☒ Combined Declaration and Power of Attorney
- ☐ Separate Declaration

**Accompanying Application Parts:**

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Separate Power of Attorney by Assignee
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Small Entity Statement(s)
- ☐ Other:

Claim For Foreign Priority

☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_  
is claimed under 35 U.S.C. § 119.

☐ The certified copy is enclosed.

☐ The certified copy will follow.

☐ Amend the specification by inserting before the first line the sentence:

"This application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_  
filed on \_\_\_\_\_, the disclosure of which is incorporated herein by reference."

Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE	FEE	OR	LARGE ENTITY RATE	FEE
BASIC FEE			\$345	\$345	OR	\$690	\$
TOTAL CLAIMS	17	-20 = 0	x 9	= \$	OR	x 18 = \$	
INDEP CLAIMS	2	-03 = 0	x 39	= \$	OR	x 78 = \$	
[ ] Multiple Dependent Claim Presented			\$130	= \$	OR	\$260	= \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$345	OR	Total	\$

☒ Check No. 3057 in the amount of \$385 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0388 (Order No. GDT1P001).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. GDT1P001).

☒ Please send correspondence to the following address:

**Customer Number 022434**  
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Date:

July 26, 2000

Elise R. Heilbrunn  
Registration No. 42,649

Applicant/Patentee: Albert Henricus Franciscus de Heer, et al.

Application or Patent No. \_\_\_\_\_ Atty Docket # GDT1P001

Filed or Issued: \_\_\_\_\_

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
37 CFR 1.9(f) and 1.27(c)--SMALL BUSINESS CONCERN

I hereby declare that I am

☐ the owner of the small business concern identified below:

☐ an official empowered to act on behalf of the small business concern identified below:

NAME OF CONCERN: CNET Europe SA

ADDRESS: Rio Gredon 14, 1806 Saint-Légier, Switzerland

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under 41(a) and (b) of Title 35, U.S. Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: METHODS AND APPARATUS FOR CATALOG DATA CAPTURE, STORAGE, AND DISTRIBUTION, by inventor(s) Albert Henricus Franciscus de Heer, Rudolf Cornelius Wilhelmus de Heer, Constantin Nickolayvich Zabrodine, Alexandre Igorevitch Kviatkevitch, and Eric Oscar Blaettler, described in

☒ the specification filed herewith.

☐ Application No. \_\_\_\_\_ filed \_\_\_\_\_

☐ patent # \_\_\_\_\_ issued \_\_\_\_\_

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).  
\*Note: separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ individual ☐ small business concern ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 1001 of Title 18 of the U.S. Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Albert Henricus Franciscus de Heer

TITLE IN ORGANIZATION: President

SIGNATURE \_\_\_\_\_

DATE 10 JULY 2000